













Family Service Coordinator	Director Early Childhood Development Strategy	No
Community Development Coordinator	Director Early Childhood Development Strategy	No
Manager Children's Centers	Director Early Childhood Development Strategy	No
Learning Together Manager	Statewide Manager Learning Together & Learning Together @ Home	No
Learning Together @ Home Fieldworker	Learning Together Manager	Yes
<b>Curriculum Services</b>	<b>Line Manager/Supervisor/Coordinator</b>	<b>Is approval from line manager required if information sharing is to occur without consent?</b>
Apprenticeship Broker	Manager Trade Schools for the Future	Yes
ICAN State Manager	Director ICAN and Mentoring	No
Mentoring State Manager	Director ICAN and Mentoring	No
Community Liaison Officer	ESL and NAP Curriculum Manager	Yes
ESL and NAP Curriculum Manager	ESL and NAP Program Manager	No
ESL and NAP Guidance Officer	ESL and NAP Program Manager	Yes
<b>School and Regional Operations</b>	<b>Line Manager/Supervisor/Coordinator</b>	<b>Is approval from line manager required if information sharing is to occur without consent?</b>
School Care Manager	Director School Effectiveness and Support	No
Critical Incident Project Officer	School Care Manager	Yes
School and Regional Operations Officers	Director School and Regional Operations	Yes
Manager Criminal History Screening Unit	Director School and Regional Operations	Yes
Child and Student Wellbeing Officers	Assistant Director Child and Student Wellbeing	Yes
Disability and Statewide Programs Officers	Assistant Director Disability and Statewide Programs	Yes
<b>International Education Services</b>	<b>Line Manager/Supervisor/Coordinator</b>	<b>Is approval from line manager required if information sharing is to occur without consent?</b>
Executive Manager International Student Services	Director International Education Services	No
Manager International Programs	Director International Education Services	No

### 3. Volunteers

Volunteers play a significant role in supporting a wide range of DECS programs. Some of these programs, such as mentoring, provide volunteers with important insights into the general wellbeing of children, young people and families. While volunteers are not authorised to share information with other agencies\* or organisations they nevertheless make an important contribution to that process by advising staff when their insights cause them to be concerned about the safety or wellbeing of children, young people or families.

As part of their induction to a site, all volunteers must be made aware of the confidentiality of such concerns and be introduced to the staff member with whom their concerns should be raised.

\* Except if making a mandatory notification through the site leader

### 4. Record keeping

The ISG do not require the use of separate record keeping files. Existing service related / child related information management systems are used to record details of information sharing decisions and actions. Records of all information sharing actions are important and will be an integral part of the follow up, referrals and interagency records of key staff.

Records of information sharing are essential

- when information is shared without consent, or
- when information sharing requests are refused by you, or to you.

Information sharing situation	What to record	Where to record
1. Information is shared <u>with consent</u>	Copies of written consents Verbal consent with recognised risk*, record <ul style="list-style-type: none"> <li>• who gave it, when &amp; to whom</li> <li>• what the consent related to</li> <li>• information provided or received</li> </ul>	<ul style="list-style-type: none"> <li>• Notes need to be kept in confidential child-related or service-related DECS file systems (as opposed to personal file systems) so that the information "follows" the child / young person.</li> <li>• Systems should be secure, for example, lockable hard files or limited access/ password protected electronic files.</li> <li>• Examples of appropriate record systems -                Schools: EDSAS (Students Personal Notes Part 2)                Regional Support Services: Single File                Preschools: Child file                OSHC/Vacation Care: Confidential section of the Child/Family File</li> <li>• If unsure where to store information sharing records in your work situation, ask your line manager</li> </ul>
2. Information is shared <u>without consent</u> ( by you or to you)	<ul style="list-style-type: none"> <li>• why obtaining consent was not considered possible</li> <li>• your line manager's approval, if required</li> <li>• what is shared, when &amp; by whom</li> <li>• the agency and the office or officer involved. (receiving and providing)</li> <li>• outcomes</li> </ul>	
3. Information sharing <u>request is refused</u> (by you or to you)	<ul style="list-style-type: none"> <li>• the purpose (the immediate or anticipated risk the request was intended to address)</li> <li>• reason given for refusal</li> <li>• notification to line manager</li> <li>• outcome of any subsequent follow up from line manager</li> </ul>	

\* Verbal consent with recognised risk – where the staff member considers that the circumstances of the verbal consent carry a degree of risk. For example the parent or child/young person may have a history of unpredictable behaviours or frequent changes of mind, or the informed consent relates to highly sensitive information, for example, offence history, serious mental health problems, financial or family circumstances.







### Case study 2 – Information is shared with the individual's consent after the agencies clarify their respective processes

A Department of Correctional Services education coordinator makes an appointment with the principal of an adult senior secondary college to discuss the enrolment of a young man who is coming up for parole and is likely to be released into home detention. The education coordinator provides identity credentials which satisfy the principal. It is agreed that the young man's rehabilitation is likely to be greatly supported if he can participate in part-time study once any community risks have been identified and assessed. The education coordinator explains that a check has been made on the Victim Register to ensure that the young man's victim is not attending the college.

The principal explains that he will need the young man to consent to sharing information about the nature of his offences so that the principal can assess the risks his enrolment might represent for other students at the college. He explains that the college enrolls minors, some of whom are particularly vulnerable, for both day and night classes. The education coordinator indicates that while he can not breach his client's confidentiality by providing this information, the principal can ask the young man directly as part of the interview process.

The young man and the education coordinator attend an enrolment interview that has been arranged with the principal rather than the college's student services staff. The young man consents to his offences being declared. The principal, education coordinator and young man agree on an enrolment that includes an obligation on the part of the young man to inform the principal if he becomes aware that someone connected to with his victim is enrolled at the college. A new assessment of risks associated with his enrolment will be made in that event.

The principal explains to the young man that he will record the details of the enrolment risk assessment in a confidential file that will not be accessible to other staff at the site. He organises for the young man to have an appointment with one of the counsellors at the site so that he can access the full

support available to him in returning to study.

He encourages the young man to share his parole situation with the counsellor but says this is the young man's choice.

### Case study 3 – Information is shared about a mother without her consent but with her son's knowledge

Jason is an 11 year old boy who has begun to miss school. Jason's mum has an intellectual disability and receives a pension. She has had a de facto partner living with her since Jason enrolled in reception. This man has communicated with the school when necessary but otherwise has not been involved in Jason's schooling. When Jason is asked to provide an explanation for his absences he says that he needs to help his mum a bit. When his teacher suggests they talk with his mum's partner about how things are affecting his schooling he bursts into tears and says that his mum's partner has left and won't be living with them any more. He then describes the jobs he has been doing for his mother. When asked about other family members who could help he says his grandmother lives in Port Augusta and won't travel to Adelaide and his uncle is in gaol. He does not know of any other relatives. It does not appear that any support is given to Jason's mum by another agency.

When the teacher suggests they talk with the counsellor about visiting his mum at home Jason is extremely upset and says that his mother won't understand and that she will think he's done something wrong. He then completely breaks down saying he's scared that people will take him away from his mum. The teacher reassures Jason that she and the counsellor will do everything they can to organise the right kind of help so that he can keep coming to school and his mum will get the help she needs.

She and the counsellor agree that they need to see and speak with Jason's mum before making further decisions. They tell Jason they will make a home visit together with him after school that day and then make a plan with him. During the visit they ask Jason's mum if they can organise for someone to come and talk with her about getting help so that Jason won't miss school.



### Case study 5 – The safety of a child and other individuals is seriously compromised when information is not shared about the child

A Family Day Care provider collects Phuong, a 6 year old boy, from his primary school on four afternoons a week and has the care of the boy until 6.30 pm. When the provider arrives one afternoon, Phuong is in a state of high distress. He is crying and won't say what is wrong. The provider approaches the teacher who knows her quite well. She asks the teacher what is upsetting him. The teacher says she would like to tell her but she doesn't have the parents' permission and they are not able to be contacted. The provider attempts to gain a better understanding by asking what she can expect of Phuong's behaviour and whether there is anything she should be focusing on. The teacher apologises again but says she can't say more and suggests the provider just try to distract Phuong.

As the provider is opening her car door to let Phuong in, he pulls away from her hand and runs straight across the road into oncoming traffic. A non fatal but serious accident occurs involving Phuong, a female driver and her child passenger. During the critical incident review the following circumstances were revealed.

Phuong had been caught stealing lunch from another student's bag. During the discussion with his teacher about what had happened, the teacher told Phuong she would also talk with his parents. Shortly afterwards he was caught trying to run out of the school yard and had spent the rest of the afternoon under the principal's supervision.

The teacher had respected the privacy rights of the parents ahead of responding to the potential threat to Phuong's safety. The risk of Phuong running away could be anticipated. That threat should have been addressed as a priority and alerting the Family Day Care provider was essential in doing this, even though the parents' consent could not be gained beforehand.

The teacher did not have to advise the provider of all the details of Phuong's situation, only those that were relevant to any risks to him. Knowing he had run away would have allowed the provider to be especially vigilant in transporting him.

### Case study 6 – An adolescent's confidential information is shared without his consent but with his knowledge

A 16 year old boy with a background of traumatic refugee experience has been seeing the school counsellor for two and a half years over issues ranging from problems with teachers or his subjects, other students, his supported accommodation, Centrelink, medical referrals etcetera. He no longer lives with his closest family member who is an older cousin.

He has established a very positive relationship with the counsellor who, through the boy's trust, has been able to help avert a number of potentially negative school or social situations "blowing up." The boy does not have particularly trusting relationships with other adults at the school but is determined at this stage to finish school.

Recently the boy has become attached to a group of youths from a similar cultural background to his own and he has developed a particularly close bond with one of the boys. He refers to him as his "brother" and says "he is my family."

This special friend has been targeted by another group of young males who have challenged him to fight them at an appointed time and place. This group have already been involved in weapon-based fights resulting in serious injury and police charges. The boy tells the counsellor that he will support his friend at this fight and that they will get their own weapons to take. All of the counsellor's suggestions and efforts to persuade the boy to take different actions are unsuccessful. Eventually he suggests to the boy that he will have to contact the police to avert the fight. The boy is angry and says he won't see the counsellor again and that he and his friend will just fight this group at a different time. The counsellor explains that his first responsibility is to protect him from harm and that he will do this in the best way he can. He says "it's more important for me to keep you alive than keep your secret." He says that he will always be there to help him no matter how angry he feels. He says he can't ignore violence and that he must do things to stop it. He alerts his principal to the actions he is taking and they contact the Operations Manager at their local Police station. The counsellor makes a record of the actions taken.

